

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
**08780001AA**

In Re Application Of: **Tatsumi et al.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
<b>10/712,056</b>	<b>November 14, 2003</b>	<b>Ly T. Tran</b>	<b>30743</b>	<b>2853</b>	<b>9030</b>

Title: **SURFACE TREATMENT APPARATUS AND IMAGE RECORDING APPARATUS**

Address to:

**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☒ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

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Application No. <b>10/712,056</b>	Filing Date <b>November 14, 2003</b>	Examiner <b>Ly T. Tran</b>	Customer No. <b>30743</b>	Group Art Unit <b>2853</b>	Confirmation No. <b>9030</b>
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Title: **SURFACE TREATMENT APPARATUS AND IMAGE RECORDING APPARATUS**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Director is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fa

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**\*This certificate may only be used if paying by deposit account.**

**Certificate of Mailing by First Class Mail**

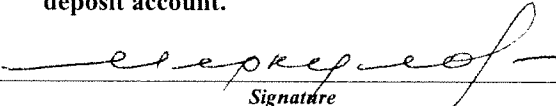
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

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(Date)

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Typed or Printed Name of Person Mailing Certificate

Dated: **JUNE 21, 2007**

  
\_\_\_\_\_  
Signature

**Olga V. Merkoulouva**  
Reg. No. 48,757  
Whitham, Curtis, Christofferson & Cook, P.C.  
11491 Sunset Hills road, Suite 340  
Reston, VA 20190  
Phone: 703-787-9400  
FAX: 703-787-7557

CC:

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

Docket No.  
**08780001AA**

In Re Application Of: **Tatsumi et al.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
<b>10/712,056</b>	<b>November 14, 2003</b>	<b>Ly T. Tran</b>	<b>30743</b>	<b>2853</b>	<b>9030</b>

Invention: **SURFACE TREATMENT APPARATUS AND IMAGE RECORDING APPRATUS**

COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

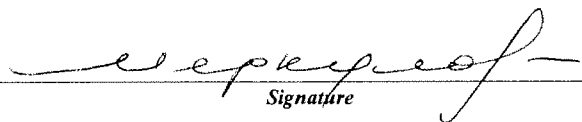
Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

  
Signature

**Olga V. Merkoulouva**  
**Reg. No. 48,757**  
**Whitham, Curtis, Christofferson & Cook, P.C.**  
**11491 Sunset Hills Road, Suite 340**  
**Reston, VA 20190**  
**Phone: 703-787-9400**  
**FAX: 703-787-7557**

Dated: **June 21, 2007**

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(Date)

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

CC:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Tatsumi et al.

Confirmation No. 9030

Serial No.10/712,056

Group Art Unit: 2853

Filed: November 14, 2003

Examiner: Ly T. Tran

For: SURFACE TREATMENT APPARATUS AND IMAGE RECORDING  
APPARATUS

Commissioner for Patents

PO Box 1450

Alexandria, Virginia 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

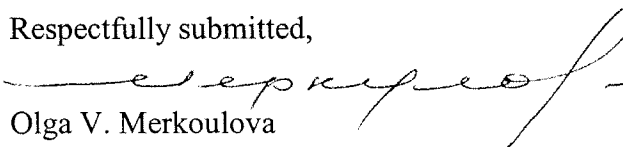
Sir:

Under the provisions of 37 C.F.R. §1.97 through §1.98 and pursuant to applicant's duty of disclosure under 37 C.F.R. §1.56, applicant respectfully brings the following documents, cited in the Office Action issued by the Japanese Patent Office on May 29, 2007, and listed on the attached forms PTO-1449, to the attention of the Examiner in charge of the above-identified application.

This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicant is aware.

It is respectfully requested that the listed references be formally made of record in the application. Please charge any deficiencies in fees and credit any overpayment of fees to the Attorney's Deposit Account No. 50-2041 (Whitham, Curtis, Christofferson & Cook, P.C.).

Respectfully submitted,



Olga V. Merkoulouva

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**Customer No.: 30743**

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				Docket Number (Optional) <b>08780001AA</b>		Application Number <b>10/712,056</b>		
				Applicant(s) <b>Tatsumi et al.</b>				
				Filing Date <b>November 14, 2003</b>		Group Art Unit <b>2853</b>		
<b>U.S. PATENT DOCUMENTS</b>								
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
<b>U.S. PATENT APPLICATION PUBLICATIONS</b>								
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
<b>FOREIGN PATENT DOCUMENTS</b>								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		JP 02-079847	03/20/1990	Japan	G03D 13	00	✓	
		JP 06-194816	07/15/1994	Japan	G03D 13	00	✓	
<b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>								
		A copy of the Office Action issued by the Japanese Patent Office on may 29, 2007, pages 1-4						
		"Photographic Engineering - Silver Hlode Photography" by The Society of Photographic Science and Technology of Japan 1978, published by CORONA Publishing Co., Ltd. with an English language translation, pages 1 to 11						
EXAMINER				DATE CONSIDERED				
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								